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IBM Docket No. FR919990103US1

# In the United States Patent and Trademark Office Patent Application Transmittal

Transmitted herewith for filing is the Patent Application of:

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For: Method and System for Providing SNA Access to Telnet 3270 and Telnet 3270 Enhanced Services over Wide Area Networks

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## Enclosed are

- 37 pages of specification, including 30 claims, plus 5 sheets of drawings.
- X An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- X A certified copy of a/an *European* application.
- X Declaration and Power of Attorney.  
PTO-1449 & references
- X A return post card
- Other:

## Filing Fee Calculation (For Other Than Small Entity)

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METHOD AND SYSTEM FOR PROVIDING SNA ACCESS TO TELNET 3270  
AND TELNET 3270 ENHANCED SERVICES OVER WIDE AREA NETWORKS

5 BACKGROUND OF THE INVENTION

1. Technical Field:

10 The present invention relates to computer networks and more particularly to a method and system for providing Systems Network Architecture (SNA) Access to Telnet 3270 (TN3270) and Telnet 3270 Enhanced (TN3270E) services over Wide Area Networks based on technologies such as Frame Relay (FR), Asynchronous Transfer Mode (ATM), Switched Multimegabit Data Services (SMDS) or Integrated Services Digital Network (ISDN).

2. Description of the Related Art:

20 Every day, for all sorts of reasons, more and more companies are focusing on the consolidation of the multiple specialized networks they directly operate or lease from service providers onto a single protocol network. These multiple specialized networks are based on diverse networking technologies such as Systems Network Architecture (SNA), Internet Protocol (IP) or Internetwork Packet Exchange (IPX). These companies are making the consolidation one of their top priorities, and they are almost exclusively selecting IP (the Internet Protocol) as their protocol of choice. However, for the overwhelming majority of these companies that are using SNA protocols and applications, there still is and will be for the many years to come, a major requirement for employees to keep the capability they always had to have

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access to the huge amount of existing corporate data residing in traditional mainframes and accessible through SNA applications.

## **TN3270 AND TN3270 ENHANCED**

In an IP environment, a widely used technique for the transport of SNA information across IP networks is the use of Telnet technologies (TN3270 and TN3270 Enhanced). This technique for SNA green screen workstation users is a Client/Server approach. Host On Demand from IBM or WebClient from CISCO are examples of Client software implementations. Network Utility from IBM or CISCO router's offerings are typical Server implementations (hardware and software).

The TN3270 Client usually runs within the customer's workstation while the Server is usually placed in front of the customer's Data Center mainframes (or sometimes directly within the mainframe itself) or within the customer branch offices. As illustrated in Figure 1, IP protocols **102** are used between the Server **100** and the Clients **101**, while traditional SNA protocols **103** are used between the Server **100** and the target Applications **104** within the mainframe. More information concerning Telnet, TN3270, TN3270 Enhanced, Network Utility and more generally SNA over IP can be found in the following publications incorporated herewith by reference:

IBM 2216/Network Utility Host Channel Connection, Erol Lengerli, Jacinta Carbonell, Thomas Grueter; IBM International Technical Support Organization, January 1999, SG24-5303-00.

IBM Network Utility Description and Configuration

Scenarios, Tim Kearby, Peter Gayek, Gallus Schlegel, Imre Szabo, Zhi-Yong Zhang; IBM International Technical Support Organization, January 1999, SG24-5289-00.

Internetworking with TCP/IP - Volume I - Principles, Protocols, and Architecture Douglas E.Comer, Second Edition, Prentice Hall 1991.

SNA and TCP/IP Integration, Jerzy Buczak, Karl Wozabal, Antonio Luca Castrichella, Heikki Lehtikainen, Maria Cristina Madureira, Tsutomu Masaoka, IBM International Technical Support Organization, April 1999, SG24-5291-00.

TCP/IP Tutorial and Technical Overview, Martin W. Murhammer, Orcun Atakan, Stefan Bretz, Larry R. Pugh, Kazunari Suzuki, David H. Wood, IBM International Technical Support Organization, October 1998, GG24-3376-05.

Request For Comments (RFCs) from the Internet Engineering Task Force (IETF):

RFC 1576: TN3270 Current Practices,

RFC 1646: TN3270 Extensions for LU name and Printer Selection,

RFC 1647: TN3270 Enhancements,

RFC 2355: TN3270 Enhancements.

## WIDE AREA NETWORKS

Data transmission with a specific focus on applications is now evolving by integrating a fundamental shift in the customer traffic profile. Driven by the growth of the number of intelligent (programmable) workstations, the pervasive use of local area network (LAN) interconnections, the distributed processing capabilities between workstations and super computers, the new applications and the integration of various and

often conflicting structures (e.g., hierarchical versus peer to peer, wide versus local area networks, voice versus data), the data profile consumes more bandwidth and requires more connectivity. The data profile is also  
5 bursting and non-deterministic. Based on the above observations, there is a strong requirement for supporting distributed computing applications across high speed wide-area networks that can carry local area network communications, voice and data traffic (and  
10 sometimes also even video) among channel-attached hosts, business or engineering workstations, terminals, and small to large file server systems.

The vision of a high speed multi-protocol network is the driver for the emergence of fast packet or cell switching network architectures such as Frame Relay, Asynchronous Transfer Mode or Switched Multimegabit Data Services in which data, voice, or, even in some cases, video information is digitally encoded, chopped into  
15 small packets and transmitted through a common set of nodes and links. With the continuously evolving environment, there still is and will be for the many years to come, a major requirement for transporting legacy data traffic, such as System Network Architecture (SNA) traffic across Wide Area Networks.  
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More information about Wide Area Networks and more particularly about Frame Relay (FR), Asynchronous Transfer Mode (ATM) or Switched Multimegabit Data Services (SMDS) technologies can be found in the  
25 following publications incorporated herewith by reference:

High Speed Networking Technology: An Introductory Survey, IBM International Technical Support Organization,

July 1995, GG24-3816-02; and

Asynchronous Transfer Mode (Broadband ISDN) -  
Technical Overview, IBM International Technical Support  
Organization, June 1994, GG24-4330-00.

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An efficient transport of mixed traffic streams on  
very high speed lines means that for these new network  
architectures, a set of strict requirements in terms of  
performance and resource consumption is needed. The set  
of requirements includes a very high throughput and a  
very short packet or cell processing time, an efficient  
set of flow and congestion control mechanisms, and a very  
large flexibility to support a wide range of connectivity  
options.

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## SUMMARY OF THE INVENTION

5 The TN3270 and TN3270 Enhanced (TN3270E) protocols require a full implementation of the complete TCP/IP protocols suite in TN3270 nodes. Implementing a full set of TCP/IP protocols is not only a complex task but also implies that each TN3270 node becomes a router with all the associated functions. This results in complexities and severe overhead caused by router to router protocols that are not really required just to transport SNA data. 10 Additionally, the cost of the nodes that participate to the TN3270 protocols is greatly impacted by the amount of software to develop, distribute and maintain, the amount of memory and control blocks required for storing and executing the routing protocols, and the processing capacity required for the execution of the routing protocols. 15

20 It is an object of the present invention to provide simplified, comprehensive and integrated SNA access to Telnet 3270 services over Wide Area Networks such as Frame Relay (FR), Asynchronous Transfer Mode (ATM), Switched Multimegabit Data Services (SMDS) or Integrated Services Data Network (ISDN). More particularly, it is an object of the present invention to not require the Wide 25 Area Network to provide sophisticated and costly IP protocols

30 It is another object of the present invention to attach SNA Client devices (Workstations) or SNA Server devices (Host attached access devices such as Telnet 3270 Servers) to a Wide Area Network (FR, ATM, SMDS, ISDN network) and to enable meaningful communication across this Wide Area Network using traditional SNA protocols. .



It is another object of the present invention to enable communication between a SNA Client device attached to a Wide Area network by means of an Access Device, and a Telnet 3270 Server attached to this Wide Area Network, using standard Telnet flows as if these two devices were locally adjacent (i.e attached to the same Local Area Network). From a Telnet 3270 standpoint, the Telnet 3270 Client device and the Telnet 3270 Server device appear as if these were logically interconnected via a Local Area Network.

It is another further object of the present invention to provide load balancing and more particularly to allow an Access Device to dynamically select one TN3270 Server device among a plurality of TN3270 Server devices for providing services to a SNA Client device.

It is another further object of the present invention to reduce the cost of implementing TN3270 services across a Wide Area Network, in particular by not requiring the implementation of a full TCP/IP protocol stack within the TN3270 Access Devices attaching the TN3270 Client devices to the Wide Area Network.

The present invention relates to computer networks and more particularly to a system and method in an access device for establishing a Systems Network Architecture (SNA) session between an SNA Client and an SNA application using Telnet 3270 services over a communication network. The SNA client provides Telnet 3270 client services. An access device provides access to the communication network, and the communication network has attached one or a plurality of Telnet 3270 servers for accessing the SNA application.

The method for use in an access device comprises the steps of (1) receiving from a Telnet 3270 client, a request for establishing a Telnet 3270 session with a Telnet 3270 Server, the request comprising an  
5 identification of the SNA client associated with the Telnet 3270 client, (2) selecting a communication path within the communication network to access a Telnet 3270 server providing services to the SNA client by referring to a configuration table, the configuration table  
10 comprising for each SNA client, one or plurality of communication paths leading to one or a plurality of Telnet 3270 servers, and (3) forwarding the Telnet 3270 session request to a selected Telnet 3270 server along the selected communication path.

The present invention further discloses an access device comprising means adapted for carrying out the claimed method.

The present invention also discloses a network comprising at least one Telnet 3270 client and at least one Telnet 3270 server, a plurality of nodes  
20 interconnected with links and the claimed access device.

The present invention further discloses a computer readable medium comprising instructions for carrying out the claimed method.

### BRIEF DESCRIPTION OF THE DRAWINGS

The novel and inventive features believed characteristics of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative detailed embodiment when read in conjunction with the accompanying drawings, wherein:

**Figure 1** illustrates the various connection protocols (SNA, IP and TCP) involved with Telnet 3270 services;

**Figure 2** shows traditional Telnet 3270 Services across a high speed Wide Area Network;

**Figures 3A** and **3B** show a physical view and a logical view of the Telnet 3270 Access Device according to the present invention;

**Figure 4** illustrates how Proxy ARP (Address Resolution Protocol) Services are provided to Telnet 3270 Clients by the Access Device according to the present invention; and

**Figure 5** shows how the Access Device configuration table is built according to the present invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The preferred embodiment described in the specifications uses the Frame Relay standard as Wide Area Network technology. However, a person skilled in the art can easily adapt the means and ideas described in the present application to other Wide Area Network protocols such as Asynchronous Transfer Mode (ATM), Switched Multimegabit Data Services (SMDS) or Integrated Services Digital Networks (ISDN).

## EXTENSION OF NETWORKS

To remain competitive, network users extend their traditional internal SNA and IP networks outward to business partners, dealers, suppliers, and customers. In this expanding environment, users also search for ways to save money and provide connectivity between their mix of SNA and TCP/IP Server applications and their TCP/IP and SNA desktop Client population.

## CONSOLIDATION OF NETWORKS

Many companies today envisage the consolidation of their WAN traffic onto a single IP-only backbone. At the same time, other companies simplify their workstation configurations and attempt to only run the TCP/IP protocol stack at the desktop. However, most of these companies still require access to SNA applications hosts.

TELNET 3270 AND TELNET 3270 ENHANCED

As shown in **Figure 1**, TN3270 allows end users to run IP from the desktop **101**, over the network and to access an SNA host **104** through a TN3270 Server **100**. The TN3270 Clients connect to the TN3270 Server using TCP connections **105**. The TN3270 Server **100** provides a gateway function for the downstream TN3270 Clients **101** by mapping Client sessions **105** to SNA dependent Logical Unit-Logical Unit (LU-LU) sessions **103** that the TN3270 Server **100** maintains with the SNA host **104**. The TN3270 Server handles the conversion between the TN3270 data stream and an SNA 3270 data stream. In the present application, the terms TN3270 Client and SNA Client represent the same object and will be used indifferently.

As mentioned above, the path from a TN3270 Client to the SNA host comprises a TCP connection over IP from the Client to the Server **105**, and an SNA LU-LU session from the Server to the host **103**. Connecting to a host to establish an LU-LU session can be accomplished using a traditional subarea connection or using an Advanced Peer-to-Peer Networking (APPN) connection.

To deploy a TN3270 solution, TN3270 Client software **101** is installed on desktop workstations, and TN3270 Server software **100** is installed in one of several places discussed below.

#### **TN3270 Client Software**

Client software is available from IBM and many other vendors, and runs on top of the TCP/IP stack in the

workstation. A given Client product provides one of two possible levels of standards support, Base TN3270 Client and TN3270E Client. Base TN3270 Client conform to RFC 1576 (TN3270 Current Practices) and/or RFC 1646 (TN3270 Extensions for LU name and Printer Selection). TN3270E Clients conform to RFC 1647 (TN3270 Enhancements), and RFC 2355 (TN3270 Enhancements).

### **TN3270 Server Software**

The TN3270 Server function **100** can be placed in a variety of products and positions within a network, including in the SNA host itself, in a router in front of the data host or within the network, or in a specialized box within the network. A Server that can support TN3270E clients is called a TN3270E Server.

### **TRADITIONAL TELNET 3270 SERVICES IN HIGH SPEED NETWORK**

As illustrated in **Figure 2**, a typical network model providing Telnet 3270 services across a Wide Area Network comprises several SNA Client devices (running the Telnet 3270 protocols) **201** attached via IP Routers **207** to Wide Area Network nodes **204**. SNA Client devices are attached to IP routers via either Local Area Networks **202** or via point to point lines **203**.

The Wide Area Network **200** comprises several network nodes **204** interconnected using communication lines provided by private carriers or by public data networks service providers **208**. At the far end of the Wide Area

Network 200, the Telnet 3270 Servers 205 are attached to the SNA Hosts 206 in which the SNA applications reside.

## INTERNET PROTOCOLS

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The support of TN3270 and TN3270 Enhanced (TN3270E) protocols in TN3270 nodes require a full implementation of the TCP/IP protocols suite. The method and system disclosed by the present invention strictly limit the TCP/IP protocols to be implemented by the Frame Relay SNA Access Device to their bare minimum. Complex dynamic IP routing protocols such as RIP (Routing Information Protocol) or OSPF (Open Short Path First) do not need to be implemented within the Wide Area Network.

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## FRAME RELAY PROTOCOLS

Frame Relay is a fast packet switching technology. Because the fast packet switching operates at layer 2 of the OSI (Open Systems Interconnection) model, Frame Relay is protocol independent. Fast packet switches examine each packet and route these packets based on a common addressing technique.

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Frame Relay is a connection-oriented networking technology, with Virtual Circuits (VC) defined between end-stations (Frame Relay Terminating Equipment or FRTE). The switching is done by a Frame Relay Frame Handler (FRFH). The standards for Permanent Virtual Circuits (PVCs) appear as recommendations in the ANSI and ITU-T (formerly CCITT) standards.

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Frame Relay incorporates mechanisms to reach some

network performance objectives, and to minimize the number of occurrences of user perceived congestion. Forward Explicit Congestion Notification (FECN) and Backward Explicit Congestion Notification (BECN) indicators are sent through the network to inform network nodes of congested conditions. End-stations are able to prioritize their traffic by using a Discard Eligibility (DE) bit in frame headers. In case of congestion, the network first discards frames having the DE bit set. Frame Relay provides guaranteed bandwidth for each Virtual Circuit, which prevents any user from consuming all the bandwidth. At the same time, Frame Relay allows any unused bandwidth to be shared by active users. Standards concerning Committed Information Rates (CIRs) have been defined and adopted. Communication Network Management (CNM) is facilitated by a Local Management Interface (LMI) defined for User-to-Network Interface (UNI) and Network-to-Network Interface (NNI).

## ASYNCHRONOUS TRANSFER MODE PROTOCOLS

The key concepts of the Asynchronous Transfer Mode (ATM) are as follows:

### Cells

All information (voice, image, video, data, etc.) is transported through the network in very short (i.e., 48 data bytes plus a 5-byte header) blocks called cells.

### Routing

Information flow is along paths (called virtual channels) set up as a series of pointers through the network. The cell header contains an identifier that



links the cell to the correct path for it to take towards its destination. Cells on a particular virtual channel always follow the same path through the network and are delivered to the destination in the same order in which they were received.

### Hardware-Based Switching

ATM is designed so that simple hardware-based logic elements may be employed at each node to perform the switching. On a link of 1 Gbps a new cell arrives and a cell is transmitted every .43 msec. Thus, there is not a lot of time to decide what to do with an arriving packet.

### Adaptation

At the edges of the network, user data frames are broken up into cells, and continuous data streams such as voice and video are assembled into cells. At the destination side of the network, the user data frames are reconstructed from the received cells and returned to the end user in the form (data frames, etc.) that they were delivered to the network. This adaptation function is considered part of the network but is a higher-layer function from the transport of cells.

### Error Control

The ATM cell-switching network only checks cell headers for errors and simply discards errored cells. The adaptation function is external to the switching network and depends somewhat on the type of traffic but for data traffic it usually checks for errors in data frames received and if one is found then it discards the whole frame. At no time does the ATM network attempt to recover from errors by the retransmission of information.

This function is up to the end-user devices and depends on the type of traffic being carried.

### Flow Controls

5           An ATM network has no internal flow controls of any kind. The required processing logic is too complex to be accommodated at the speeds involved. Instead ATM has a set of input rate controls that limit the rate of traffic delivered to the network. Other forms of input control  
10           are under discussion in various standards bodies.

### Congestion Control

          There is only one thing an ATM network can do when a link or node becomes congested. Cells are discarded until the problem has been relieved. Some (lower-priority)  
15           cells can be marked such that they are the first to be discarded in the case of congestion. Connection endpoints are not notified when cells are discarded. It is up to the adaptation function or higher-layer protocols to  
20           detect and recover from the loss of cells (if necessary and possible).

### ACCESS TO TN3270 SERVICES ACROSS WIDE AREA NETWORKS

25           As shown in **Figure 3A**, from a physical standpoint, the present invention discloses a method and system to provide a simplified but comprehensive access to Telnet 3270 services across Wide Area Networks. The Access Device **300** is placed between the SNA Client device **301**  
30           and **302** running the standard TN3270 Client protocols and the Wide Area Network **303**.

The SNA Client device 301 and 302 can be attached to the Access Device 300 via a serial link 311 or via a Local Area Network 312. The Access Device 300 provides minimal IP functionality to allow Telnet 3270 Servers 304 at the far end of the Wide Area Network at which hosts 305 exist, to appear logically adjacent (in an IP terminology) to the SNA Client devices 301 and 302 attached to the Access Device 300. This minimal IP functionality simply consists of providing proxy ARP (Address Resolution Protocol) services. Such proxy ARP services provided by the Access Device are used by SNA Client Devices to connect to the Access Device via a Local Area Network.

As shown in **Figure 3B**, from a logical standpoint, the SNA Client devices 301 and 302 running the Telnet 3270 Client protocols appear directly adjacent to the Telnet 3270 Server 304 providing access to the SNA Host 305 where SNA Applications reside. Of course, any number of Access Devices 300 may be attached to the Wide Area Network 303. Similarly, any number of TN3270 Servers 304 may be attached to the Wide Area Network 303. The role of the SNA Access Device 300 is to intercept the Telnet session setup request message from the attached SNA Client devices 301 and 302. Then, based on the Telnet session setup request message, the Access Device 300 determines the Telnet 3270 Server 304 to which the Telnet session request message must be forwarded. The SNA Logical Unit name of the Logical Unit (LU) associated with the SNA Client device 301 or 302 for this session is

included in the Telnet session request message issued by the SNA Client device **301** or **302**. The selected Telnet 3270 Server **304** is one of the Telnet 3270 Servers that provide services for the Logical Unit for which the SNA Client device **301** or **302** is initiating the Telnet session setup request message.

In a Frame Relay Wide Area Network, the Access Device determines - based on a pre-configured table **306** - the Virtual Circuit (VC) **307** to access the selected Telnet 3270 Server. Once a Telnet 3270 has been selected by the Access Device, the Telnet session request message is forwarded by the SNA Access Device to the Telnet Server over the selected Frame Relay Virtual Circuit. This Virtual Circuit provides a path between the Access Device and the Telnet 3270 Server, across the Frame Relay Wide Area Network **303**.

Once the Telnet session has been established between the SNA Client device and the Telnet 3270 Server, the SNA Access Device as well as the Wide Area Network are completely transparent. They just transport packets between the Client and the Server over the selected Virtual Circuit.

#### ACCESS DEVICE CONFIGURATION TABLE

As shown in **Figure 5**, as an initialization phase, the SNA Access Device must be configured with all the information necessary for selecting a Virtual Circuit to associate with a Telnet session. The Virtual Circuits are the communication paths from the SNA access device to the

TN3270 Servers to which the Telnet session setup requests must be forwarded to. An SNA Access Device configuration table is built, based on the configuration file 308 of each of the TN3270 Servers connected to the Wide Area Network and that communicate with the SNA Client Devices attached to the Access Device. In **Figure 5**, three TN3270 Servers S1, S2, and S3 are shown. Each of these TN3270 Servers has a configuration file 308 (S1 file 502, S2 file 503, and S3 file 504), whose format is specific to the implementation of the Server). The SNA Access Device is configured with all the Virtual Circuits 501 providing communication paths within the Wide Area Network between the SNA Access Device and the TN3270 Servers.

The configuration file 308 of each Telnet 3270 Server comprises configuration information of the Permanent Virtual Circuits (PVCs) that the Telnet 3270 server supports for all the destinations accross the WAN. Configuration files are all processed off-line to produce the SNA Access Device configuration table 500. In the example of **Figure 5**, a Telnet session setup request from LU1 can use either PVC a or PVC b, leading to Server S1 and S2. Similarly, a Telnet session setup request message for LU2 can use PVC a that leads to Server S1, and so on.

The configuration file 308 of each Telnet 3270 Server also provides the TN3270 Server's IP addresses. These IP addresses are collected and saved within the configuration file for the SNA Access Device.

Finally, the configuration file 308 of each Telnet 3270 Server specifies a Permanent Virtual Circuit (PVC)

to route the Telnet session request messages for Logical Units that are not listed explicitly in the configuration table (500). In the provided example, Server S3 processes all the Telnet session setup request messages comprising an LU name that is unknown by the SNA Access Device. PVC d leads to Server S3 and corresponds to the default routing for the Logical Units that are unknown from an SNA Access Device standpoint. The default mechanism provides some form of wild card routing capabilities to the SNA Access Device.

#### PROXY ARP SERVICES PROVIDED BY THE SNA ACCESS DEVICE

SNA Client Devices connected to the SNA Access Device use IP protocols to communicate to their Telnet Servers in charge of providing SNA access to the target Applications. As shown in **Figure 4**, some SNA Client Devices **404** are connected via a Token Ring Local Area Network (LAN) **403** to the SNA Access Device **400**. Other SNA Client Devices are attached via an Ethernet LAN **402** to this SNA Access Device **400**. Since IP protocols are used, the very first message that an SNA Client device **404** attached via a LAN to the SNA Access Device **400** issues is an ARP (Address Resolution Protocol) request message.

An SNA Client device only knows the IP address of the TN3270 Server to use for a session. Over a Local Area Network, the Medium Access Control (MAC) of the box to which the traffic towards the destination Server must be determined. This is provided using ARP protocols where a request (called an ARP request) comprising the IP address of the destination is sent over the LAN. The box in

charge of routing the traffic to the destination (the destination is identified by the IP address) replies over the LAN by specifying its MAC address (the message is called an ARP response).

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An ARP control message is a broadcasted message over the Local Area Network (LAN). When any of the SNA Client Devices issues an ARP request message, containing the IP address **401** of the Server that the SNA Client device wants to reach, the SNA Access Device **400** traps the ARP request, and generates an ARP response that comprises the Medium Access Control (MAC) Address **405** and **406** of the SNA Access Device over the Local Area Network. The preceding condition tells the SNA Client Device that in order to reach the Server corresponding to the IP address comprised in the ARP request message, the MAC address of the SNA Access Device (comprised within the ARP response) has to be used as addressing information in every IP message sent over the Local Area Network.

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For a link-attached SNA Client Device, because there is only one device (the SNA Access Device) at the other end of the link, the SNA Client device does not need a MAC address to be associated with the destination TN3270 Server. Therefore, proxy ARP services are not required for link attached SNA Client devices.

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#### **PROCESSING A SESSION SETUP REQUEST FROM AN ATTACHED SNA CLIENT DEVICE**

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When an SNA Client device **301** issues a Telnet Session setup request message, the message is sent over

the link (or LAN) from the SNA Client device to the SNA Access Device. This Telnet session setup request message is a TCP/IP message sent over the Telnet 3270 well-known port (Port 23) since it is a Telnet message.

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The source IP address in the IP header of the message received by the SNA Access Device is the IP address of the SNA Client device. The destination IP address is the IP address of the Telnet Server (or set of Telnet Servers) to which the Telnet session request message must be sent to.

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There could be several Telnet Servers with the same IP address if these Servers can all satisfy session setup requests from the same SNA Client device (or set of SNA Client Devices). For LAN attached workstations, the SNA Access Device that previously responded to the ARP request message from the SNA Client device receives the message as the SNA Access Device provides proxy-ARP services for the Telnet Server. For link attached SNA Client device, the message is also received by the SNA Access device, but proxy ARP services are not required. Using the configuration table 306, the SNA Access Device determines the Virtual Circuit across the WAN to forward the Telnet Session request message to the selected TN3270 Server. Across the selected Virtual Circuit, the message will then get to the target Telnet 3270 Server.

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### EXPLOITING THE CONFIGURATION TABLES

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When a Telnet Session setup request message is received by an SNA Access Device, the SNA Access Device uses the LU addressing information within the received message to search the configuration table. If there is



only one Permanent Virtual Circuit (PVC) in the PVC list within the configuration table 500 (i.e., only one TN3270 Server only can satisfy the request of the SNA Client device), the PVC is selected and used.

If there are several PVCs listed (i.e., several TN3270 Servers can satisfy the request of the SNA Client device), a choice must be made. In a preferred embodiment of the invention, a simple Round Robin mechanism is used. That is, PVCs are selected one after the other by the SNA Access Device in order to distribute the Telnet Session request messages to every Telnet 3270 Server capable to provide services for the specified SNA Client device.

Alternatively, in a more sophisticated embodiment, the SNA Access Device can regularly poll the Telnet 3270 Servers to obtain an estimation of their availability, load or both load and availability. The collected information can be used to select one Virtual Circuit among all possible ones listed in the configuration table, and to go to the best Telnet 3270 Server (a Telnet Server available and capable of providing session services for the requesting SNA Client device). There are typical implementations of such load balancing functionality like those provided for example by both IBM (International Business Machine) and Cisco Systems equipment providers.

#### **ADVANTAGES**

The main advantages associated to the invention may be listed as follows:

The TN3270 Client is completely isolated from the Wide Area Network protocol specificities. The TN3270 Client is, in fact, natively connected to the SNA Access

Device whose role is to hide the particularities of the Wide Area Network;

From a Telnet 3270 protocol standpoint, the SNA Access Device is completely transparent. In other words, the Access Device is not seen from either the TN3270 Server or the SNA Client device running the TN3270 Client protocols;

SNA Client devices are standard TN3270 Client devices and Standard TN3270 Servers can be used;

The support of the invention does not require any modification of the Wide Area Network protocols; and

The invention can be used for various Wide Area Network (WAN) technologies such as Frame Relay (FR), Asynchronous Transfer Mode (ATM), Switched Multi-megabit Data Services (SMDS) or Integrated Services Digital Network (ISDN).

As a final matter, it is important to note that while an illustrative embodiment of the present invention has been, and will continue to be, described in the context of a fully functional data processing system, those skilled in the art will appreciate that the software aspects of an illustrative embodiment of the present invention are capable of being distributed as a program product in a variety of forms, and that an illustrative embodiment of the present invention applies equally regardless of the particular type of signal bearing media used to actually carry out the distribution. Examples of signal bearing media include recordable type media such as floppy disks, hard disk drives, CD ROMs, and transmission type media such as digital and analog communication links.

While the invention has been particularly shown and

described with reference to a preferred embodiment, it will be understood that various changes in form and detail may be made therein without departing from the spirit, and scope of the invention.

## CLAIMS

What is claimed is:

1        1.    A method for providing seamless connection over a  
2        communication network for a Systems Network Architecture  
3        (SNA) session between a SNA Client and a remote SNA  
4        server, said method comprising the steps of:

5                receiving at an access device for said  
6        communications network and from said SNA client a request  
7        for establishing a session with a SNA server that  
8        provides services to said SNA client, said request  
9        including an identification of the SNA client;

10               selecting a communication path within the  
11        communication network to access said SNA server by  
12        referring to a configuration table of said access device,  
13        said configuration table comprising one or more  
14        communication paths leading to one or more SNA servers  
15        for each SNA client that is connected to said access  
16        device; and

17               forwarding the session request to said SNA server  
18        along the selected communication path.

1        2.    The method of Claim 1, further comprising the step  
2        of routing traffic associated with the session to the SNA  
3        server via the selected communication path.

1        3.    The method of Claim 2, wherein said step of  
2        selecting a communication path further comprises the step  
3        of selecting one server from among a plurality of servers  
4        associated with the SNA client according to pre-

5 determined criteria selected from among network and  
6 server performance, server availability, and load  
7 balancing.

1 4. The method of Claim 3, wherein said SNA client and  
2 an access device are connected on a Local Area Network,  
3 and wherein said step of receiving a request for  
4 establishing a session is preceded by the steps of:

5 receiving on said Local Area Network an Address  
6 Resolution Protocol (ARP) request, said ARP request  
7 comprising the Internet Protocol (IP) address of a server  
8 the SNA client wants to access; and

9 sending an ARP response to the SNA client, said ARP  
10 response comprising the Medium Access Control (MAC)  
11 address of the access device on the Local Area Network.

12 5. The method of Claim 4, further comprising the step  
13 of, for each SNA client, defining said configuration  
14 table with an identification of the SNA client including  
15 a SNA Logical Unit (LU) name, one or more communication  
16 paths from the access device to the one or more servers  
17 associated with said SNA client, and an identification of  
18 said one or more servers including an Internet Protocol  
19 (IP) address.

1 6. The method of Claim 5, wherein said step of defining  
2 said configuration table further comprises the step of  
3 specifying a default communication path to access a  
4 server for SNA clients that are not identified in the  
5 configuration table.

1 7. The method of Claims 6, wherein each server  
2 comprises a configuration file comprising a list of SNA

3 clients for which the server provides services, and for  
4 each of said SNA clients, a communication path within the  
5 communication network between the access device and said  
6 server, and wherein said step of defining a configuration  
7 table further comprises the step of:

8 retrieving and consolidating the configuration files  
9 of each of said server in a single configuration table  
10 locally stored within the access device.

1 8. The method of Claim 7, wherein said SNA client is  
2 identified by a SNA Logical Unit name, and said session  
3 request comprises the SNA Logical Unit name of the SNA  
4 client.

1 9. The method of Claim 8, wherein said communication  
2 network is a Wide Area Network (WAN) based on a  
3 networking technology from among Frame Relay (FR),  
4 Asynchronous Transfer Mode (ATM), Switched Multi-megabit  
5 Data Services (SMDS) or Integrated Services Digital  
6 Network (ISDN).

1 10. The method of Claim 9, wherein said communication  
2 paths are Permanent Virtual Circuits (PVCs) identified  
3 within said WAN.

1 11. The method of Claim 10, wherein the access device  
2 attaches one or more SNA clients by serial links and  
3 Local Area Networks (LAN).

1 12. The method of Claim 11, wherein said SNA operates a  
2 Telnet session with services from among a Telnet 3270  
3 service and a Telnet 3270 enhanced service, and wherein  
4 said server is a Telnet server and said SNA client

```
5      executes Telnet client services.
```

[illegible]

1           13. An access device for a communications network, said  
2           access device comprising:

3                   a receiving port for receiving a session request  
4                   from a connected SNA client;

5                   a processing unit that selects a particular one of  
6                   at least one SNA server connected to the communications  
7                   network for routing said session request; and

8                   a transmitting port for providing a session  
9                   connection between said SNA client and said particular  
10                  SNA server via a virtual circuit connecting said SNA  
11                  server with said access device across said communications  
12                  network.

1           14. The access device of Claim 13, further comprising a  
2           configuration table that provides a list of SNA clients  
3           and Internet Protocol addresses of associated SNA servers  
4           that support said SNA clients along with a permanent  
5           virtual link by which one of said associated SNA servers  
6           may be connected to said SNA client when said session  
7           request is received by said access device.

1           15. The access device of Claim 14, further comprising  
2           means for providing Internet Protocol services including  
3           an Address Resolution Protocol (ARP) that utilizes an IP  
4           address of said SNA server that is included in an ARP  
5           request message of said SNA client to determine which SNA  
6           server to select.

1           16. The access device of Claim 15, wherein multiple SNA  
2           servers support said SNA client and said processor  
3           selects one of said multiple SNA servers to connect said



4 session request by evaluating one or more of a plurality  
5 of criteria including network and server performance,  
6 server availability, and load balancing.

1 17. The access device of Claim 16, wherein said  
2 processing unit selects a default communication path  
3 including a default SNA server when a SNA client  
4 requesting a session is not identified within said  
5 configuration table.

1 18. The access device of Claim 17, further comprising  
2 logic for, in response to receiving an Address Resolution  
3 Protocol (ARP) request comprising the Internet Protocol  
4 (IP) address of a server the SNA client wants to access,  
5 sending an ARP response to the SNA client, said ARP  
6 response comprising the Medium Access Control (MAC)  
7 address of the access device.

1           19. A network comprising:

2                   at least one Systems Network Architecture (SNA)  
3           client;

4                   at least one SNA server; and

5                   an access device for enabling a session between said  
6           at least one SNA client and said at least one SNA server  
7           by establishing a virtual circuit with said SNA server  
8           across said network.

1           20. The network of Claim 19, wherein said access device  
2           is connected to said at least one SNA client via a direct  
3           link.

1           21. The network of Claim 19, wherein said access device  
2           is connected to said at least one SNA client via a local  
3           area network.

1           22. The network of Claim 19, wherein said access device  
2           comprises:

3                   a receiving port for receiving a session request  
4           from said at least one SNA client;

5                   a processing unit that selects a particular one of  
6           said at least one SNA server for routing said session  
7           request; and

8                   a transmitting port for providing a session  
9           connection between said at least one SNA client and said  
10          particular SNA server via said virtual circuit.

1 23. The network of Claim 22, wherein said access device  
2 further comprises a configuration table that provides a  
3 list of SNA clients and Internet Protocol addresses of  
4 associated SNA servers that support said SNA clients  
5 along with a permanent virtual link by which one of said  
6 associated SNA servers may be connected to said SNA  
7 client when said session request is received by said  
8 access device.

1 24. The network of Claim 23, wherein said access device  
2 further comprises means for providing Internet Protocol  
3 services including an Address Resolution Protocol (ARP)  
4 that utilizes an IP address of an SNA server that is  
5 included in an ARP request message of said SNA client to  
6 determine which one of said at least one SNA server to  
7 select.

1 25. The network of Claim 24, wherein multiple SNA  
2 servers supports one of said SNA clients and said  
3 processing unit of said access device selects one of said  
4 multiple SNA servers to connect said session request by  
5 evaluating one or more of a plurality of criteria  
6 including network and server performance, server  
7 availability, and load balancing.

1 26. The network of Claim 25, wherein said processing  
2 unit selects a default communication path including a  
3 default SNA server when a SNA client requesting a session  
4 is not identified within said configuration table.

1 27. The network of Claim 26, wherein said access device  
2 further comprises logic for, in response to receiving an  
3 Address Resolution Protocol (ARP) request comprising the  
4 Internet Protocol (IP) address of a server the SNA client

5           wants to access, sending an ARP response to the SNA  
6           client, said ARP response comprising the Medium Access  
7           Control (MAC) address of the access device.

1           28. A computer program product comprising:

2                   a computer readable medium; and

3                   program instructions on said computer readable  
4 medium for:

5                   receiving from an SNA client a request for  
6 establishing a session with a SNA server that provides  
7 services to said SNA client, said request including an  
8 identification of the SNA client;

9                   selecting a communication path within the  
10 communication network to access said SNA server by  
11 referring to a configuration table of said access device,  
12 said configuration table comprising one or more  
13 communication paths leading to one or more SNA servers  
14 for each SNA client that is connected to said access  
15 device; and

16                   forwarding the session request to said SNA server  
17 along the selected communication path.

1           29. The computer program product of Claim 28, wherein  
2 said program instructions for selecting a communication  
3 path further comprises program instructions for selecting  
4 one server from among a plurality of servers associated  
5 with the SNA client according to pre-determined criteria  
6 selected from among network and server performance,  
7 server availability, and load balancing.

1           30. The computer program product of Claim 29, further  
2 comprising program instructions for selecting a default  
3 communication path to access a server for SNA clients

1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2433	2434	2435	2436	2437	2438	2439	2440	2441	2442	2443
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## ABSTRACT OF THE DISCLOSURE

METHOD AND SYSTEM FOR PROVIDING SNA ACCESS TO TELNET 3270  
AND TELNET 3270 ENHANCED SERVICES OVER WIDE AREA NETWORKS

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The present invention relates to computer networks and more particularly to a system and method in an access device for establishing a Systems Network Architecture (SNA) session between an SNA Client and an SNA application using Telnet 3270 services over a communication network. The SNA client provides Telnet 3270 client services. An access device provides access to the communication network, and the communication network has attached one or a plurality of Telnet 3270 servers for accessing the SNA application. The method for use in an access device comprises the steps of (1) receiving from a Telnet 3270 client, a request for establishing a Telnet 3270 session with a Telnet 3270 Server, the request comprising an identification of the SNA client associated with the Telnet 3270 client, (2) selecting a communication path within the communication network to access a Telnet 3270 server providing services to the SNA client by referring to a configuration table, the configuration table comprising for each SNA client, one or plurality of communication paths leading to one or a plurality of Telnet 3270 servers, and (3) forwarding the Telnet 3270 session request to a selected Telnet 3270 server along the selected communication path.

## Protocols Involved with TN3270 Services

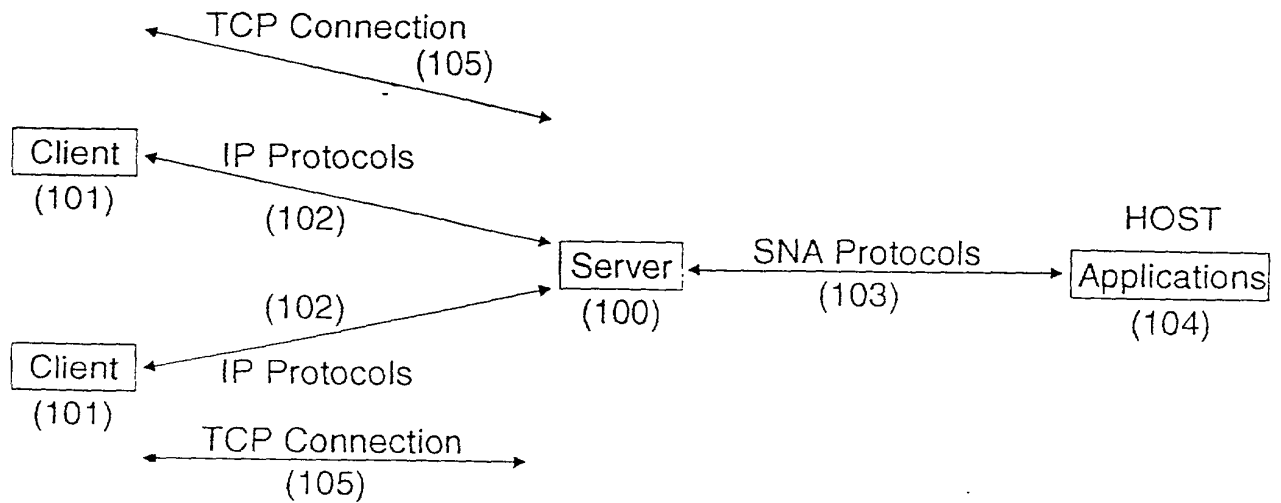


FIG. 1



# TN3270 Services in High Speed Wide Area Network

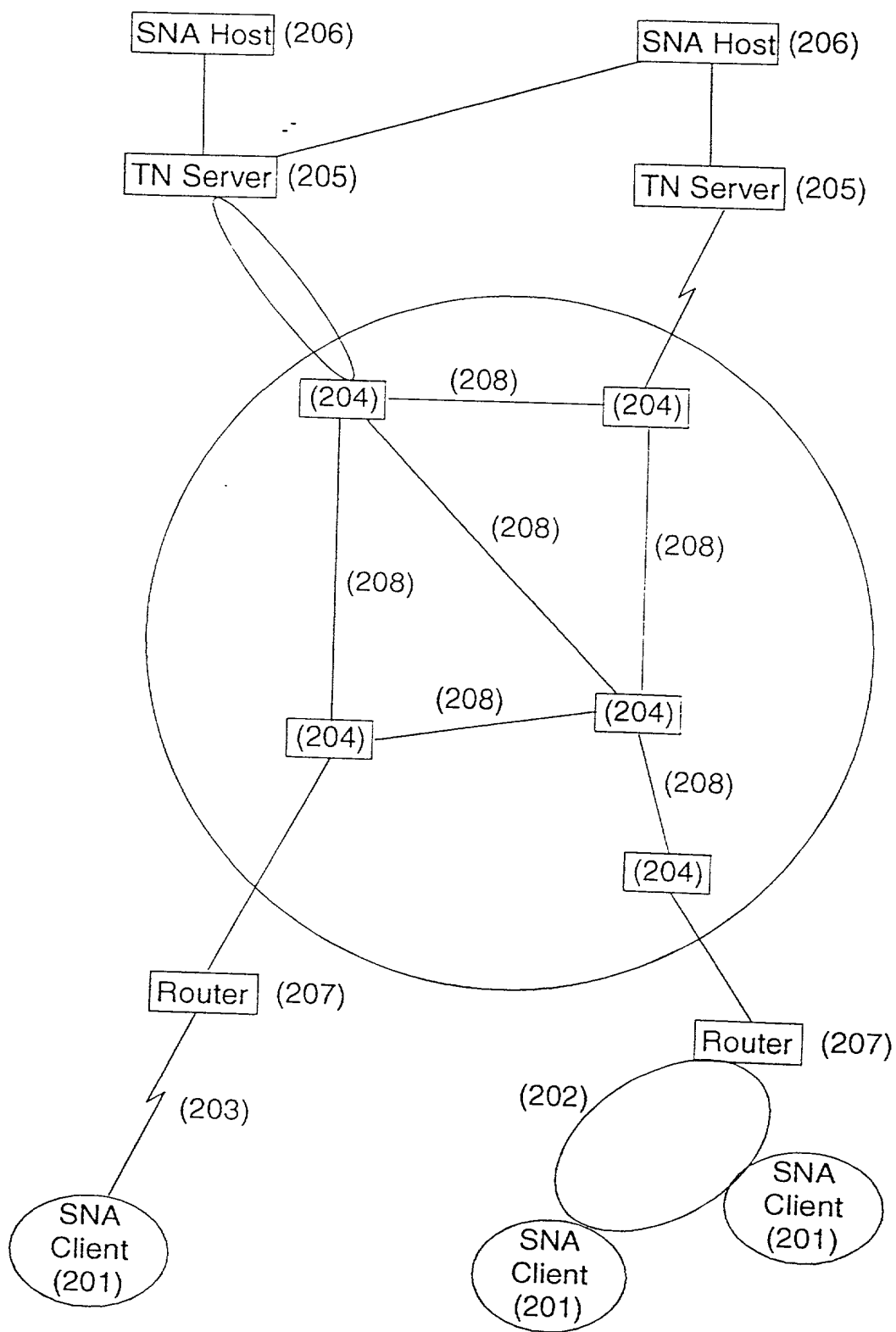
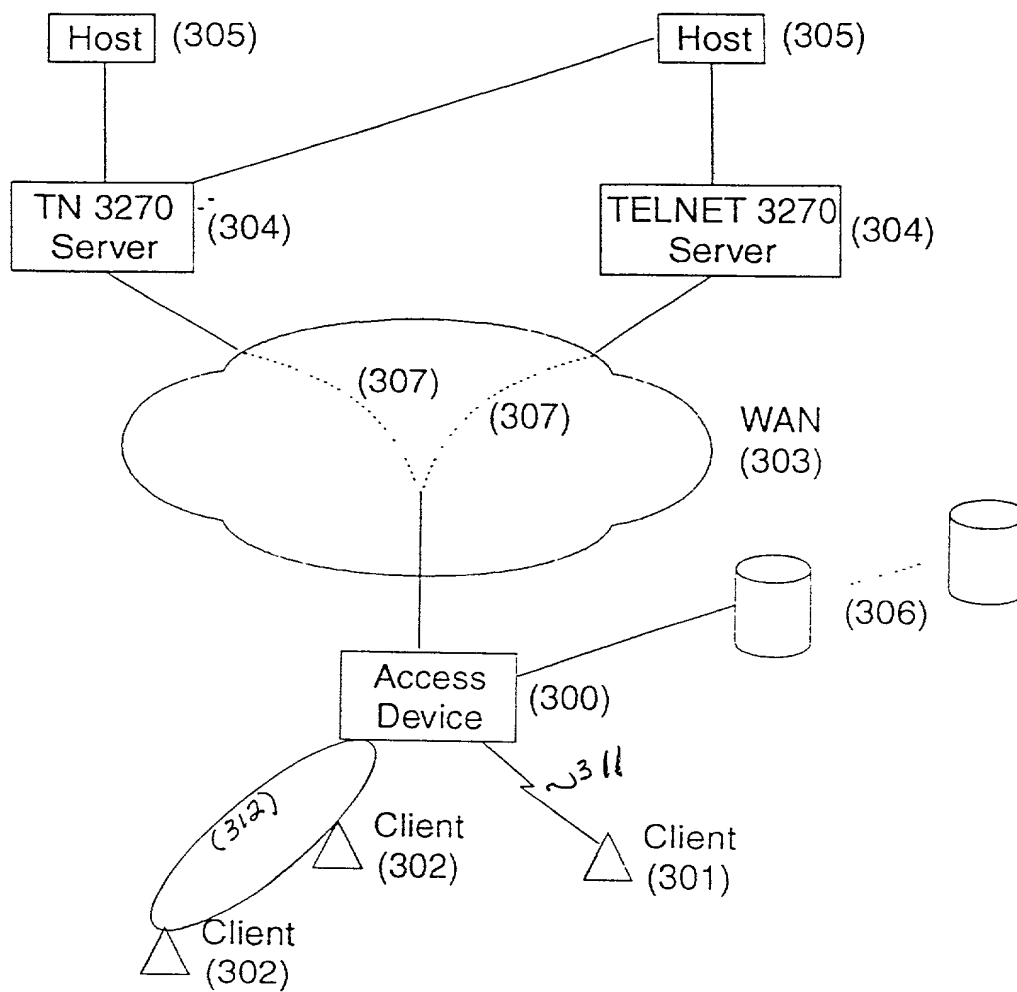


FIG. 2

# TN3270 Wide Area Network Access Device

## a) Physical View



## b) Logical View

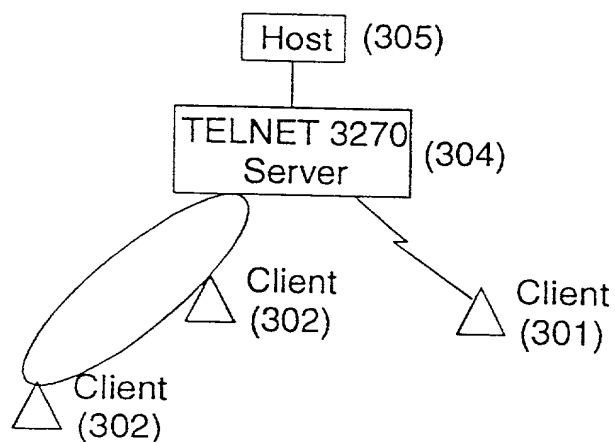


FIG. 3

## Access Device Proxy ARP Services

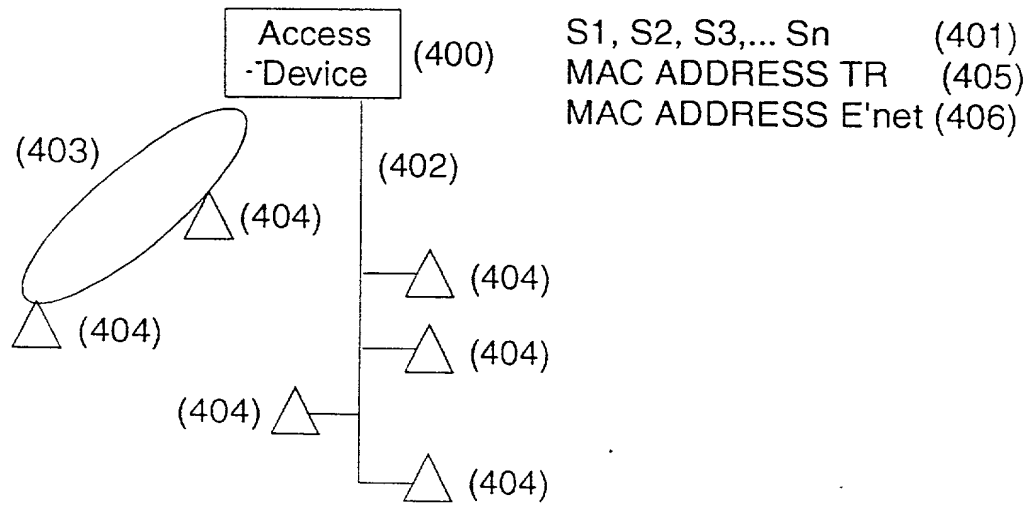


FIG. 4

## Access Device Configuration Tables

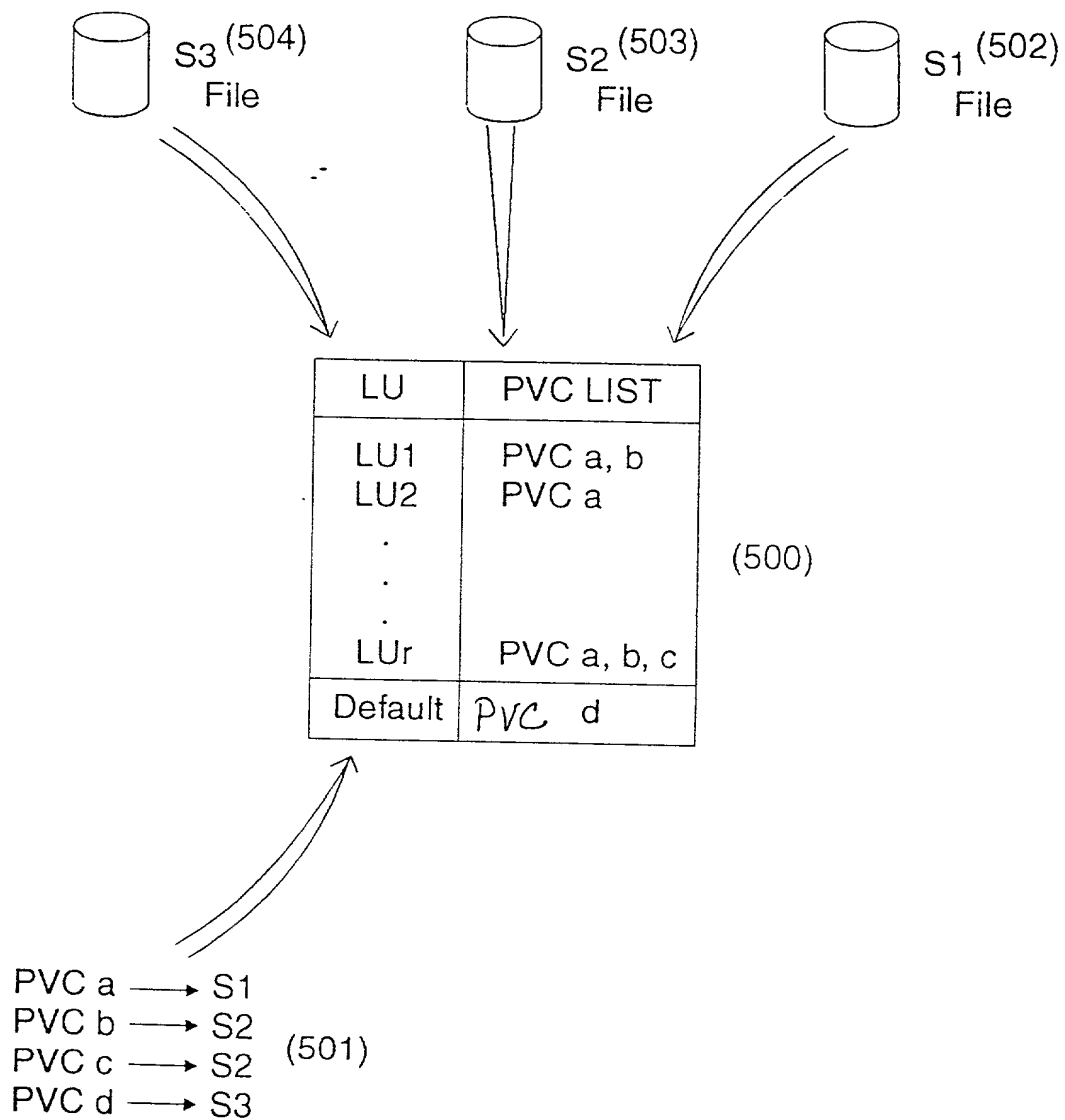


FIG. 5

**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Method and System for Providing SNA Access to Telnet 3270 and  
Telnet 3270 Enhanced Services Over Wide Area Networks**

the specification of which is identified by the attorney (IBM) Docket Number appearing above.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)**

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year</u>	<u>Priority Claimed</u>
99480118.1	Europe	25/11/99	Yes

I hereby claim the benefit (a) under Title 35, United States Code, §119(e) of any U.S. application listed below and identified as a provisional application or (b) under Title 35, United States Code, §120 of any U.S. application listed below and not identified as a provisional application, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application

**Prior U.S. Applications**

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
-------------------	--------------------	---------------

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Daniel E. McConnell, Reg. No. 20,360; Joscelyn G. Cockburn, Reg. No. 27,069; Scott W. Reid, Reg. No. 42,098; Horace St. Julian, Reg. No. 30,329; and Christopher A. Hughes, Reg. No. 26,914.

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**First Inventor:** Didier Giroir

**Signature:**



DIDIER E.

Nov 13, 2000

Date

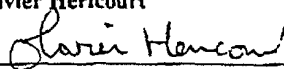
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**Signature:**



Nov 13, 2000

Date

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**Citizenship:** French

**Post Office Address:** Same as above